

Regional Greenhouse Gas Initiative

An Initiative of the Northeast and Mid-Atlantic States of the U.S.

Distribution of Third Control Period (2015-2017) CO₂ Allowances

Date: 3/22/2019

Values in this spreadsheet are current as of the last date of update listed above.

Distribution of Third Control Period (2015-2017) CO ₂ Allowance By State												
State	CO ₂ Allowance Base Budget	First Control Period Interim Adjustment ¹	Second Control Period Interim Adjustment ²	CO ₂ Allowance Adjusted Budget ^{1,2}	Sold at Auction	Sold Cost Containment Reserve (CCR) Allowances ³	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Remaining Set-Aside Allowances	Set-Aside Allowances Retired	Awarded CO ₂ Offset Allowances	Sold Allowances Retired ⁴
Connecticut	16,806,539	1,595,907	2,660,682	12,549,950	12,303,499	647,461	N/A	58,202	0	188,249	0	297
Delaware	11,687,141	1,126,809	1,878,606	8,681,726	8,681,726	457,658	N/A	N/A	N/A	N/A	0	0
Maine	9,348,305	886,701	1,478,301	6,983,303	6,544,526	360,137	N/A	438,668	109	0	0	0
Maryland	58,357,332	5,590,083	9,319,734	43,447,515	36,121,208	2,270,433	4,800,000	1,569,402	956,905	0	16,003	0
Massachusetts	41,509,616	3,973,785	6,625,059	30,910,772	30,847,449	1,613,968	N/A	0	0	63,323	0	0
New Hampshire	13,546,480	1,284,906	2,142,183	10,119,391	10,118,598	521,869	N/A	0	0	793	0	0
New York	100,675,036	9,585,720	15,981,228	75,108,088	68,508,088	3,893,277	N/A	4,500,000	84,688	2,015,312	0	0
Rhode Island	5,757,831	396,366	660,819	4,700,646	4,686,647	160,987	N/A	0	13,222	777	0	0
Vermont	1,887,798	182,715	304,620	1,400,463	1,386,458	74,210	N/A	0	14,005	0	0	0
Total	259,576,078	24,622,992	41,051,232	193,901,854	179,198,199	10,000,000	4,800,000	6,566,272	1,068,929	2,268,454	16,003	297

¹ On January 13, 2014, the states announced the First Control Period Interim Adjustment for Banked Allowances (FCPIABA). Additional information available at <http://www.rggi.org/design>

² On March 17, 2014, the states announced the Second Control Period Interim Adjustment for Banked Allowances (SCPIABA). Additional information available at <http://www.rggi.org/design>

³ A total of 10 million 2015 Cost Containment Reserve (CCR) allowances were distributed in Auction 29. More information available at http://www.rggi.org/market/co2_auctions/results and at <http://www.rggi.org/design>

⁴ For Connecticut, the 297 CO₂ allowances in the Sold Allowances Retired column were New York-issued CO₂ allowances. These CO₂ allowances have not been excluded in the "Sold At Auction" total in the above.

Legend Key

CO₂ Allowance Base Budget: Total number of CO₂ allowances as specified by each participating state's CO₂ Budget Trading Program for the third three-year control period (2015-2017).

First Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the CO₂ allowance base budgets for the third control period (2015-2017) equivalent to the private bank of 2009, 2010, & 2011 allocation year CO₂ allowances, divided over the 2014-2020 period.

Second Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the CO₂ allowance base budgets for the third control period (2015-2017) equivalent to the private bank of 2012 & 2013 allocation year CO₂ allowances, divided over the 2015-2020 period.

CO₂ Allowance Adjusted Budget: Total number of CO₂ allowances allocated by each participating state for the third control period.

Sold at Auction: Total number of CO₂ allowances sold at auction to date, not including CCR allowances.

Sold Cost Containment Reserve (CCR) Allowances: Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

Sold at Fixed Price: Total number of CO₂ allowances sold directly to regulated sources at a fixed price.

Transferred from State Set-Aside Accounts: Total number of CO₂ allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: https://rggi.org/sites/default/files/Uploads/Allowance-Tracking/States_Set-Aside_Accounts.pdf.

Remaining Set-Aside Allowances: Total number of CO₂ allowances delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

Set-Aside Allowances Retired: Total number of CO₂ allowances that have been retired from state set-aside accounts to date.

Award CO₂ Offset Allowances: Total number of CO₂ allowances that have been awarded to offset projects to date.

Regional Greenhouse Gas Initiative

An Initiative of the Northeast and Mid-Atlantic States of the U.S.

Distribution of Third Control Period (2015-2017) CO₂ Allowances

Date: 3/22/2019

Values in this spreadsheet are current as of the last date of update listed above.

Distribution of Third Control Period (2015-2017) CO ₂ Allowance By State												
State	CO ₂ Allowance Base Budget	First Control Period Interim Adjustment ¹	Second Control Period Interim Adjustment ²	CO ₂ Allowance Adjusted Budget ^{1,2}	Sold at Auction	Sold Cost Containment Reserve (CCR) Allowances ³	Sold at Fixed Price	Transferred from State Set-Aside Accounts	Remaining Set-Aside Allowances	Set-Aside Allowances Retired	Awarded CO ₂ Offset Allowances	Sold Allowances Retired ⁴
Connecticut	16,806,539	1,595,907	2,660,682	12,549,950	98.04%	647,461	N/A	0.46%	0.00%	1.50%	0.00%	0.00%
Delaware	11,687,141	1,126,809	1,878,606	8,681,726	100.00%	457,658	N/A	N/A	N/A	N/A	0.00%	0.00%
Maine	9,348,305	886,701	1,478,301	6,983,303	93.72%	360,137	N/A	6.28%	0.00%	0.00%	0.00%	0.00%
Maryland	58,357,332	5,590,083	9,319,734	43,447,515	83.14%	2,270,433	11.05%	3.61%	2.20%	0.00%	0.04%	0.00%
Massachusetts	41,509,616	3,973,785	6,625,059	30,910,772	99.80%	1,613,968	N/A	0.00%	0.00%	0.20%	0.00%	0.00%
New Hampshire	13,546,480	1,284,906	2,142,183	10,119,391	99.99%	521,869	N/A	0.00%	0.00%	0.01%	0.00%	0.00%
New York	100,675,036	9,585,720	15,981,228	75,108,088	91.21%	3,893,277	N/A	5.99%	0.11%	2.68%	0.00%	0.00%
Rhode Island	5,757,831	396,366	660,819	4,700,646	99.70%	160,987	N/A	0.00%	0.28%	0.02%	0.00%	0.00%
Vermont	1,887,798	182,715	304,620	1,400,463	99.00%	74,210	N/A	0.00%	1.00%	0.00%	0.00%	0.00%
Total	259,576,078	24,622,992	41,051,232	193,901,854	92.42%	10,000,000	2.48%	3.39%	0.55%	1.17%	0.01%	0.00%

¹ On January 13, 2014, the states announced the First Control Period Interim Adjustment for Banked Allowances (FCPIABA). Additional information available at <http://www.rggi.org/design>

² On March 17, 2014, the states announced the Second Control Period Interim Adjustment for Banked Allowances (SCPIABA). Additional information available at <http://www.rggi.org/design>

³ A total of 10 million 2015 Cost Containment Reserve (CCR) allowances were distributed in Auction 29. More information available at http://www.rggi.org/market/co2_auctions/results and at <http://www.rggi.org/design>

⁴ For Connecticut, the 297 CO₂ allowances in the Sold Allowances Retired column were New York-issued CO₂ allowances.

Legend Key

CO₂ Allowance Base Budget: Total number of CO₂ allowances as specified by each participating state's CO₂ Budget Trading Program for the third three-year control period (2015-2017).

First Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the CO₂ allowance base budgets for the third control period (2015-2017) equivalent to the private bank of 2009, 2010, & 2011 allocation year CO₂ allowances, divided over the 2014-2020 period.

Second Control Period Interim Adjustment: Total number of CO₂ allowances deducted from the CO₂ allowance base budgets for the third control period (2015-2017) equivalent to the private bank of 2012 & 2013 allocation year CO₂ allowances, divided over the 2015-2020 period.

CO₂ Allowance Adjusted Budget: Total number of CO₂ allowances allocated by each participating state for the third control period.

Sold at Auction: Total number of CO₂ allowances sold at auction to date, not including CCR allowances.

Sold Cost Containment Reserve (CCR) Allowances: Total number of CCR allowances sold at an auction in which the CCR Trigger Price was reached to date.

Sold at Fixed Price: Total number of CO₂ allowances sold directly to regulated sources at a fixed price.

Transferred from State Set-Aside Accounts: Total number of CO₂ allowances that have been distributed directly from state accounts to date. For more information on state set-aside accounts, please see: https://rggi.org/sites/default/files/Uploads/Allowance-Tracking/States_Set-Aside_Accounts.pdf.

Remaining Set-Aside Allowances: Total number of CO₂ allowances delegated as state set-aside and fixed price allowances that are remaining in state set-aside accounts.

Set-Aside Allowances Retired: Total number of CO₂ allowances that have been retired from state set-aside accounts to date.

Award CO₂ Offset Allowances: Total number of CO₂ allowances that have been awarded to offset projects to date.