



Regional Greenhouse Gas Initiative, Inc. 2014 Conflict of Interest Disclosure Questionnaire

The purpose of this questionnaire is to determine any significant personal interests of Regional Greenhouse Gas Initiative, Inc. (the "Corporation") Board Members, Officers, Committee Members or Employees. It is understood that individuals serving with the Corporation may have community or personal interests that may relate to matters arising in the course of their performance of official responsibilities. This form is intended to identify those interests and provide assurance that conflicts of interest will not impair fair and impartial Corporation actions. The Corporation Board Members, Officers, Committee Members and Employees should, whenever possible, avoid conflicts of interest and, where they do occur, make them clearly evident.

In answering questions, please disclose not only your own direct interests but also any indirect or beneficial interests which could arise through a related party. A "related party" is defined as one of the following: (1) members of the Board Member, Officer, Committee Member or Employee's immediate family (including parents, children, spouse, or domestic partner); (2) any estate, trust, custodianship, guardianship, etc. in which the Board Member, Officer, Committee Member or Employee, or any member of her or his immediate family, has a present or vested future beneficial interest; and (3) any corporation or entity (for-profit or nonprofit) in which the Board Member, Officer, Committee Member or Employee or any member of her or his immediate family, (i) is a partner, director, officer, key employee or beneficial owner of more than 5% of the voting interests or (ii) is a member or has any interest if such corporation or entity is a recipient of goods or services from or provider of goods or services to the Corporation.

(Use reverse side or additional page(s) to give additional information, if necessary)

1. Are you or is a related party the director, officer, partner or employee of any enterprise which, to your knowledge, does business with or has a financial relationship with, or is a regulated entity under the Regional Greenhouse Gas Initiative? If yes, please list all such positions.

Yes _____ No _____

2. Do you or does a related party share in the profits of, or own directly, indirectly, or beneficially, securities, options, or rights to purchase securities or share in profits of companies which, to your knowledge, are doing business with or are regulated entities under the Regional Greenhouse Gas Initiative? If yes, please list the relevant companies.

Yes ____ No _____

3. Do you or does a related party directly, indirectly, or beneficially, have any ownership interest in a proprietorship, partnership, or syndicate which, to your knowledge, operates any business which does business with or is a regulated entity under the Regional Greenhouse Gas Initiative? If yes, please list the relevant business entity.



Yes ____ No ____

4. Does there currently exist, or has there existed, any creditor-debtor relationship between you or a related party, directly or indirectly, and any non-financial organization, to your knowledge, doing business with or which is a regulated entity under the Regional Greenhouse Gas Initiative, except normal charge accounts, installment purchase accounts, routine provision of utility services and membership in an electrical co-operative? If yes, please explain briefly.

Yes ____ No ____

5. Are you or is a related party receiving commissions or any form of compensation, gift or reward on business transacted with the Corporation either directly or through a third person? If yes, please explain briefly.

Yes ____ No ____

6. In addition to the information reported above, do you or does a related party have any direct or indirect business relationships which may reasonably be considered to have some influence on your judgment and decisions involving transactions with the Corporation, or otherwise during the performance of your duties and responsibilities as a Board Member, Officer, Committee Member or Employee? If yes, please explain briefly.

Yes ____ No ____

I agree to inform the Board of Directors of the Regional Greenhouse Gas Initiative, Inc. of potential conflicts of interest, and to disassociate myself from situations where possible conflicts of interest might occur, pursuant to the principles of the Corporation's "Ethical Guidelines and Conflict of Interest Policy," and when requested by the President of the Board.

To the best of my knowledge, the answers to all of the above questions are true and complete in every respect.

Print Name: _____

Signature: _____

Date: _____